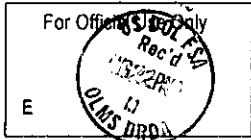


FORM LM-30

LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

Form approved
Office of Management
and Budget
No. 1215-0188
Expires 11-30-2006

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440.



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

1. File Number U - <u>12587</u>	2. Fiscal Year Covered From <u>1 / 1 / 2004</u> Through: <u>12 / 31 / 2004</u>
3. Name and address of person filing. Name <u>William C. Waggoner</u> P.O. Box, Bldg., Room No., if any Street <u>150 E. Corson St.</u> City <u>Pasadena</u> State <u>California</u> ZIP Code + 4 <u>91103-3839</u>	4. Name, file number, and address of labor organization. Name <u>Int'l Union of Operating Engineers Local No. 12</u> Labor Organization File Number <u>007-156</u> P.O. Box, Building and Room Number, if any Street <u>150 E. Corson St.</u> City <u>Pasadena</u> State <u>California</u> ZIP Code + 4 <u>91103-3839</u>
5. Position in labor organization. <u>Business Manager</u>	

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent.	
6. Name and address of Employer (including trade name, if any). Name Trade Name, if any: P.O. Box, Bldg., Room No., if any Street City State ZIP Code + 4	7.a. Nature of Interest, Transaction, or Income. 7.b. Amount.

Signature

15. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)

Signed _____

On 8/17/05 Date 626-742-877 Telephone Number

Name of Person Filing William Waggoner

File Number U-

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Amalgamated Bank

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 60 S. Los Robles Ave.

City Pasadena

State California ZIP Code + 4 91101

9. Business deals with

☒ a. Labor Organization

b. Trust

c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

Commercial banking, certificates of deposits, investments and custodial services.

11.b. Approximate dollar value of such dealing.

\$0

12.a. Nature of interest held or income received.

Spouse is a Vice President of Amalgamated Bank.

12.b. Amount.

\$155,000

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer or Consultant ?

14.b. Amount of payment.

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Amalgamated Bank

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 60 S. Los Robles Ave.

City Pasadena

State California

ZIP Code + 4 91101

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name Operating Engineers Trust Funds

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 100 E. Corson St.

City Pasadena

State California

ZIP Code + 4 91103-3839

11.a. Nature of such dealing.

Commercial banking, trust and custodial services.

Note: Dollar value of transactions not readily available to filer.

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

Spouse is a Vice President of Amalgamated Bank.

12.b. Amount.

\$155,000

Name of Person Filing William Waggoner

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name

Trade Name, if any: Palm Springs Riviera Resort

P.O. Box, Bldg., Room No., if any

Street 1600 N. Indian Canyon Dr.

City Palm Springs

State California ZIP Code + 4 92262

9. Business deals with

a. Labor Organization

☒ b. Trust

c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name Operating Engineers Trust Funds

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 100 E. Corson St.

City Pasadena

State California ZIP Code + 4 91103-3839

11.a. Nature of such dealing.

Trustee on Operating Engineers Trust Funds.

11.b. Approximate dollar value of such dealing.

\$0

12.a. Nature of interest held or income received.

Golf tournament and meals provided on March 11, 2004 by Pension Trust owned hotel.

12.b. Amount.

\$784

Name of Person Filing William Waggoner

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Palm Springs Riviera Resort

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1600 N. Indian Canyon Dr.

City Palm Springs

State California ZIP Code + 4 92262

9. Business deals with:

☐ a. Labor Organization

☒ b. Trust

☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name Operating Engineers Trust Funds

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 100 E. Corson St.

City Pasadena

State California ZIP Code + 4 91103-3839

11.a. Nature of such dealing.

Trustee on Operating Engineers Trust Funds.

11.b. Approximate dollar value of such dealing.

\$0

12.a. Nature of interest held or income received.

Meals provided on September 28 and 29, 2004 by Pension Trust owned hotel.

12.b. Amount.

\$499

Name of Person Filing William Waggoner

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name The Washington Court on Capitol Hill

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 525 New Jersey Avenue, NW

City Washington

State District of Columbia ZIP Code + 4 20001-1527

9. Business deals with

a. Labor Organization

☒ b. Trust

c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name Operating Engineers Trust Funds

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 100 E. Corson St.

City Pasadena

State California ZIP Code + 4 91103-3839

11.a. Nature of such dealing.

Trustee on Operating Engineers Trust Funds.

11.b. Approximate dollar value of such dealing.

\$0

12.a. Nature of interest held or income received.

Meals provided on March 27, 2004 by Pension Trust owned hotel.

12.b. Amount.

\$25

Name of Person Filing William Waggoner

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name The Washington Court on Capitol Hill

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 525 New Jersey Avenue, NW

City Washington

State District of Columbia ZIP Code + 4 20001-1527

9. Business deals with:

a. Labor Organization

☒ b. Trust

c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name Operating Engineers Trust Funds

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 100 E. Corson St.

City Pasadena

State California ZIP Code + 4 91103-3839

11.a. Nature of such dealing.

Trustee on Operating Engineers Trust Funds.

11.b. Approximate dollar value of such dealing.

\$0

12.a. Nature of interest held or income received.

Meals provided on November 16, 2004 by Pension Trust owned hotel.

12.b. Amount.

\$32

Name of Person Filing William Waggoner

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Amalgamated Bank

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 60 S. Los Robles Ave.

City Pasadena

State California ZIP Code + 4 91101

9. Business deals with:

☒ a. Labor Organization

b. Trust

c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

Business Manager of Local Union.

11.b. Approximate dollar value of such dealing.

\$0

12.a. Nature of interest held or income received.

Dinner to discuss Local Union banking and investment activity.

12.b. Amount.

\$134

Name of Person Filing William Waggoner

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Amalgamated Bank

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 60 S. Los Robles Ave.

City Pasadena

State California ZIP Code + 4 91101

9. Business deals with:

☒ a. Labor Organization

b. Trust

c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

Business Manager of Local Union.

11.b. Approximate dollar value of such dealing. \$0

12.a. Nature of interest held or income received.

Dinner to discuss Local Union banking and investment activity.

12.b. Amount. \$166

Name of Person Filing William Waggoner

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Amalgamated Bank

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 60 S. Los Robles Ave.

City Pasadena

State California ZIP Code + 4 91101

9. Business deals with:

☒ a. Labor Organization

b. Trust

c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

Business Manager of Local Union.

11.b. Approximate dollar value of such dealing.

\$0

12.a. Nature of interest held or income received.

Dinner to discuss Local Union banking and investment activity.

12.b. Amount.

\$153

Name of Person Filing William Waggoner

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Amalgamated Bank

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 60 S. Los Robles Ave.

City Pasadena

State California ZIP Code + 4 91101

9. Business deals with

☒ a. Labor Organization

b. Trust

c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

Business Manager of Local Union.

11.b. Approximate dollar value of such dealing.

\$0

12.a. Nature of interest held or income received.

Dinner to discuss Local Union banking and investment activity.

12.b. Amount.

\$48

Name of Person Filing William Waggoner

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Amalgamated Bank

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 60 S. Los Robles Ave.

City Pasadena

State California ZIP Code + 4 91101

9. Business deals with:

☒ a. Labor Organization

☐ b. Trust

☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

Business Manager of Local Union.

11.b. Approximate dollar value of such dealing.

\$0

12.a. Nature of interest held or income received.

Dinner to discuss Local Union banking and investment activity.

12.b. Amount.

\$55

Name of Person Filing William Waggoner

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Amalgamated Bank

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 60 S. Los Robles Ave.

City Pasadena

State California ZIP Code + 4 91101

9. Business deals with:

☒ a. Labor Organization

b. Trust

c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

Business Manager of Local Union.

11.b. Approximate dollar value of such dealing.

\$0

12.a. Nature of interest held or income received.

Dinner to discuss Local Union banking and investment activity.

12.b. Amount.

\$90